



## **Tutorial**

# **Next Generation Optical Access Technologies**

Peter Vetter – Bell Labs, Alcatel-Lucent  
ECOC, Amsterdam, September 18<sup>th</sup>, 2012

Inputs from:

Romain Brenot, Ed Harstead, Ron Heron, Thomas Pfeiffer, Wolfgang Poehlmann, Joe Smith, Dora van Veen

# Outline

- Introduction
- TDM-PON
- TWDM-PON
- WDM-PON
- OFDM-PON
- Comparison
- Summary

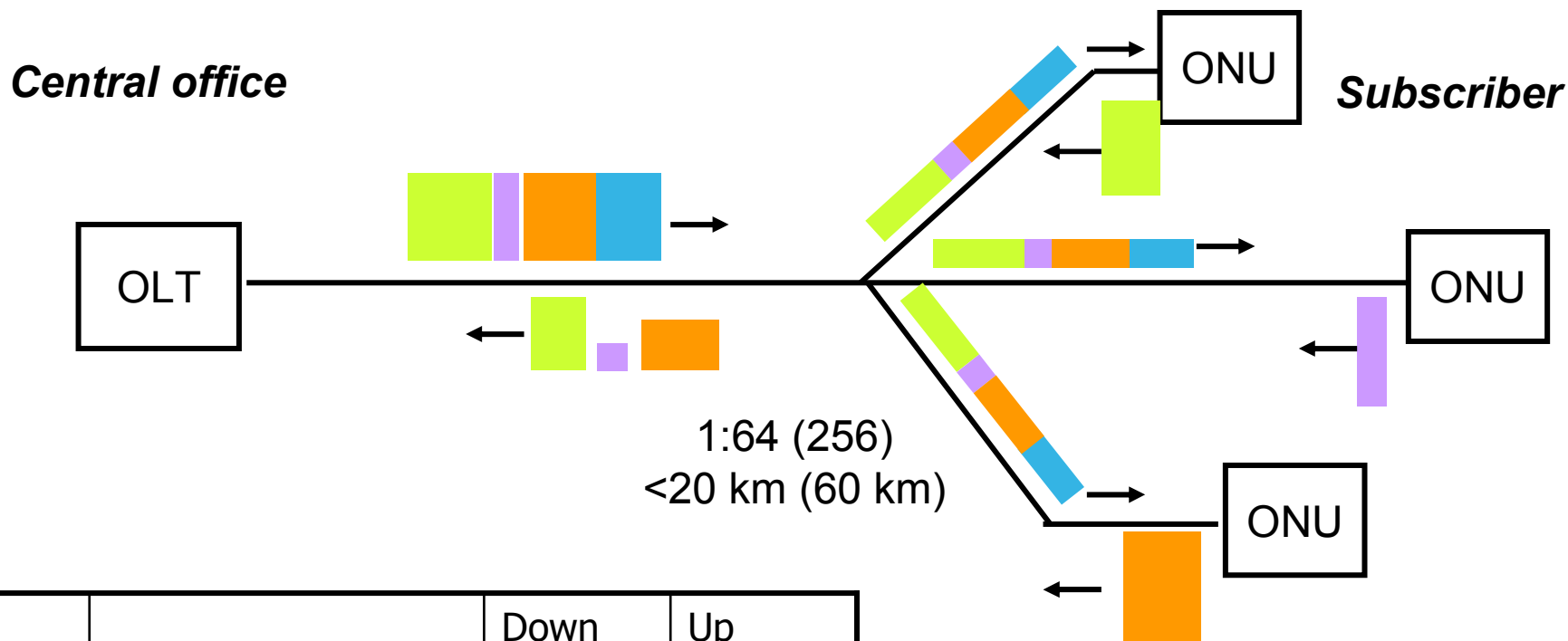
PON: Passive Optical Network  
NG-PON: Next Generation PON  
TDM: Time Division Multiplexing  
TWDM: Time and Wavelength Division Multiplexing  
WDM: Wavelength Division Multiplexing  
OFDM: Orthogonal Frequency Division Multiplexing

# Outline

- Introduction
  - PON basics
  - Optical access roadmap
  - Uses for NG-PON
- TDM-PON
- TWDM-PON
- WDM-PON
- OFDM-PON
- Comparison
- Summary

PON: Passive Optical Network  
NG-PON: Next Generation PON  
TDM: Time Division Multiplexing  
TWDM: Time and Wavelength Division Multiplexing  
WDM: Wavelength Division Multiplexing  
OFDM: Orthogonal Frequency Division Multiplexing

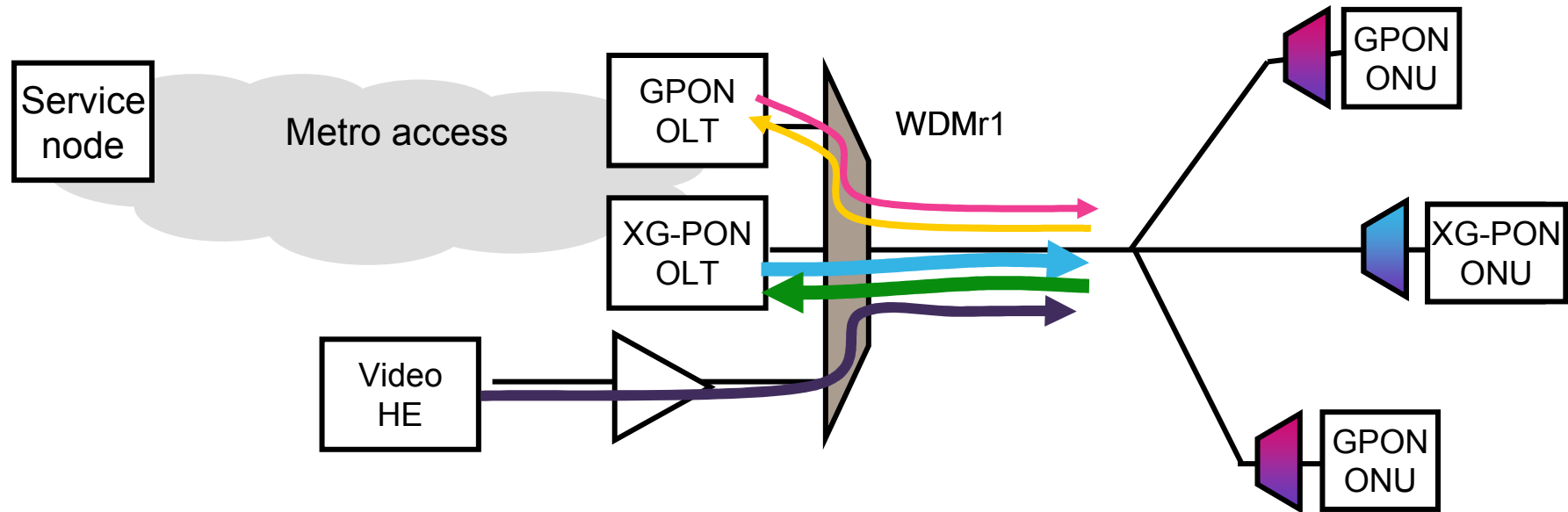
# TDM/TDMA PON Basics



		Down (Gbit/s)	Up (Gbit/s)
FSAN ITU-T	BPON (G.983)	0.622	0.155
	GPON (G.984)	2.5	1.25
	XG-PON1 (G.987)	10	2.5
IEEE	EPON (802.3ah)	1.25	1.25
	10G-EPON (802.3av)	10	1 or 10

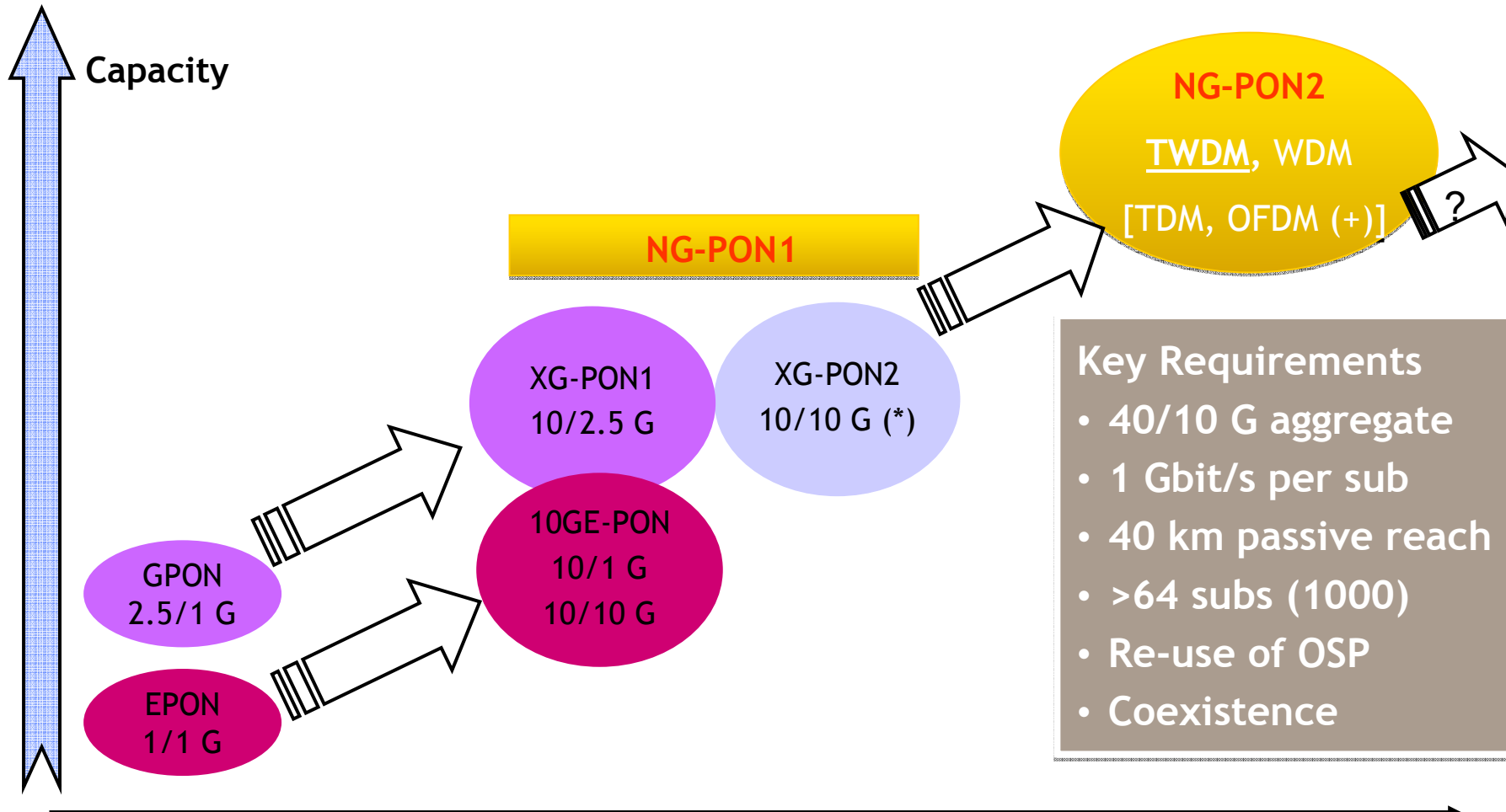
OLT: Optical Line Termination  
 ONU: Optical Network Unit  
 TDMA: Time Division Multiple Access  
 FSAN: Full Service Access Network  
 BPON: Broadband PON  
 GPON: Gigabit-capable PON  
 XG-PON: 10-Gigabit-capable PON  
 EPON: Ethernet PON

# Wavelength-plan and Coexistence (FSAN)



Future NG-PON2 solutions should co-exist with existing standards

# PON Standardization Roadmap



- Key Requirements**
- 40/10 G aggregate
  - 1 Gbit/s per sub
  - 40 km passive reach
  - >64 subs (1000)
  - Re-use of OSP
  - Coexistence

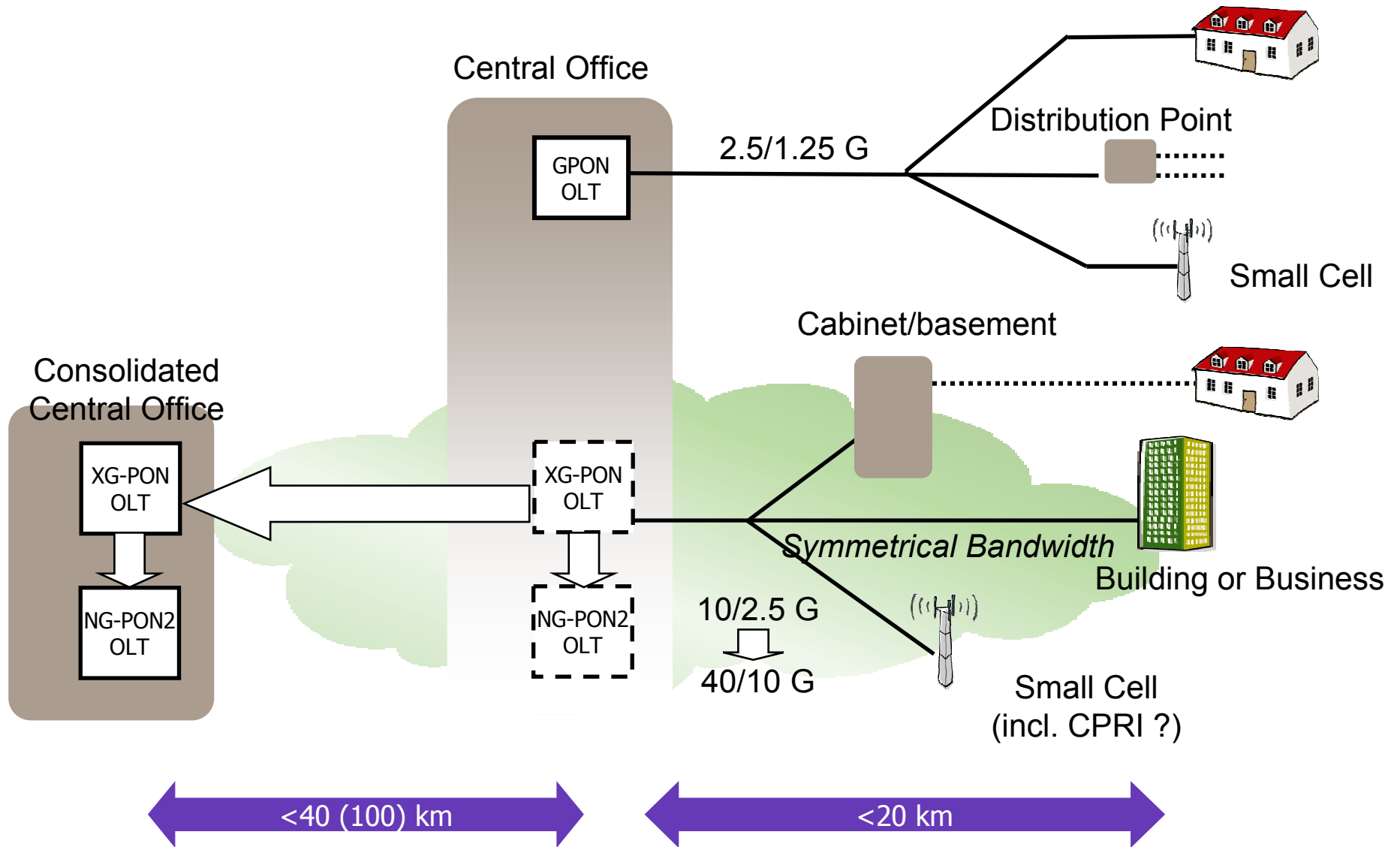
Ref.: FSAN / ITU-T G.984  
IEEE 802.3ah

2010  
G.987  
802.3av

(\*) Not a standard

2015  
G.ngpon2  
(+) No longer considered

# Uses for NG-PON



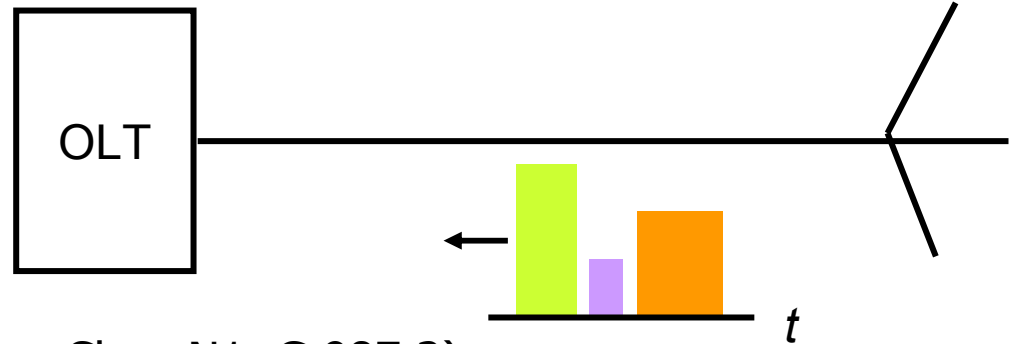
# Outline

- Introduction
- TDM-PON
  - 10 Gbit/s upstream TDMA
  - Energy efficiency improvements
  - 40 Gbit/s downstream
- TWDM-PON
- WDM-PON
- OFDM-PON
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# TDMA 10G upstream

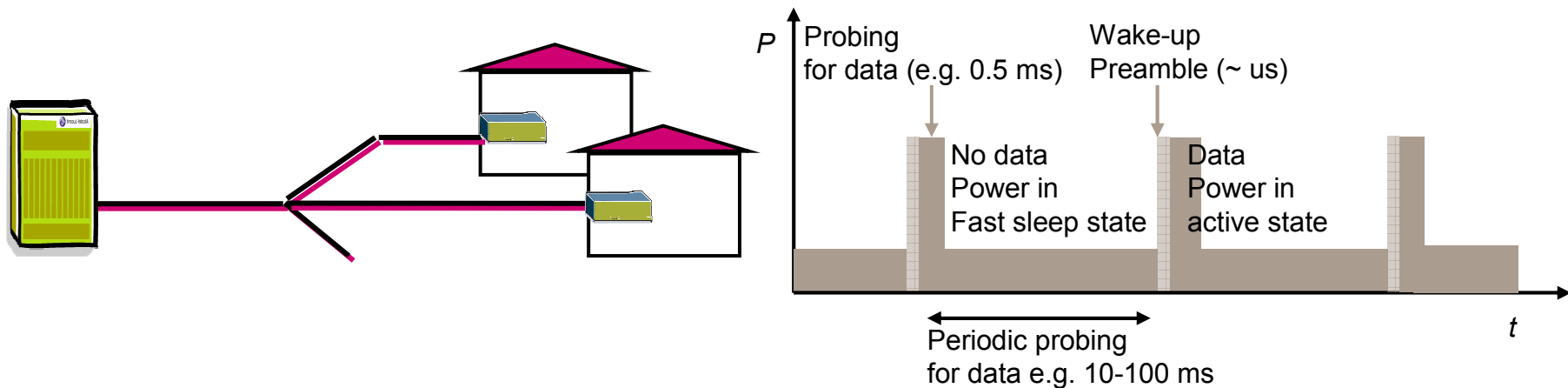


- Challenges
  - Dynamic range >20.5 dB
  - Rx sensitivity <-27.5 dBm (assumes Class N1, G.987.2)
  - Minimal preamble for gain and time lock-in
- Standard solution available for 10G-EPON, but continued research. E.g.:
- *Ref.: S. Yoshima, J. Nakagawa et al., **ECOC 2012 (Invited, Tu.1.B.3)***
  - Dual rate 10.3/1.25 Gbit/s
  - -30.7 dBm for 10G (BER 1e-3)
  - Sync time: 240 ns
- *Ref.: Y. Xin, X.Z. Qiu et al., **ECOC 2012 (Tu.1.B.5)***
  - -30.5 dBm for 10G (BER 1e-3), 30 dB dynamic range
  - Gain settling time: 60 ns
- *Ref.: D. van Veen et al. OFC 2011:*
  - Full system demonstration 10/10 G XG-PON2 (Not spec. in standards)

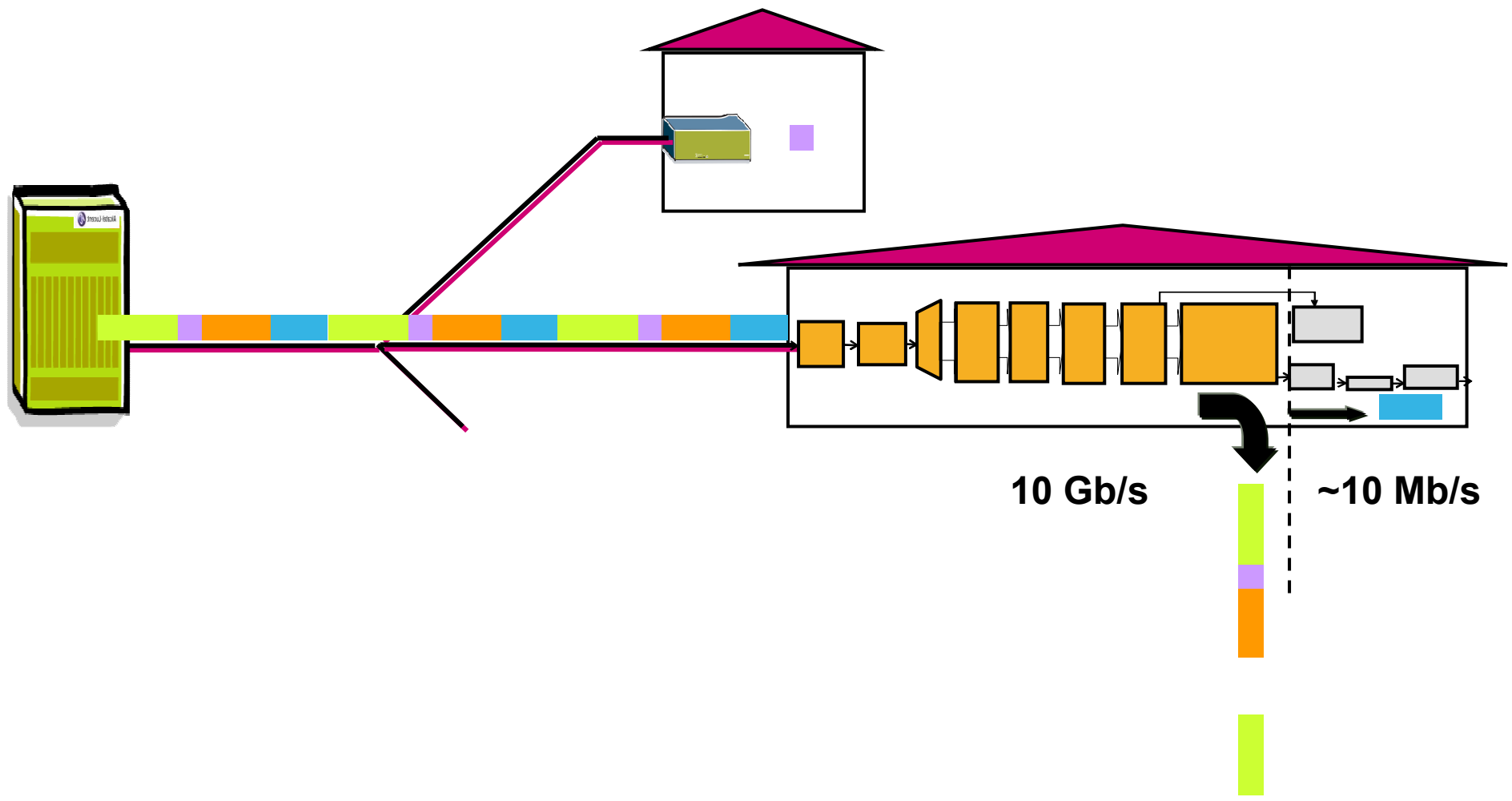
# Power Saving by Sleep Mode in ONU



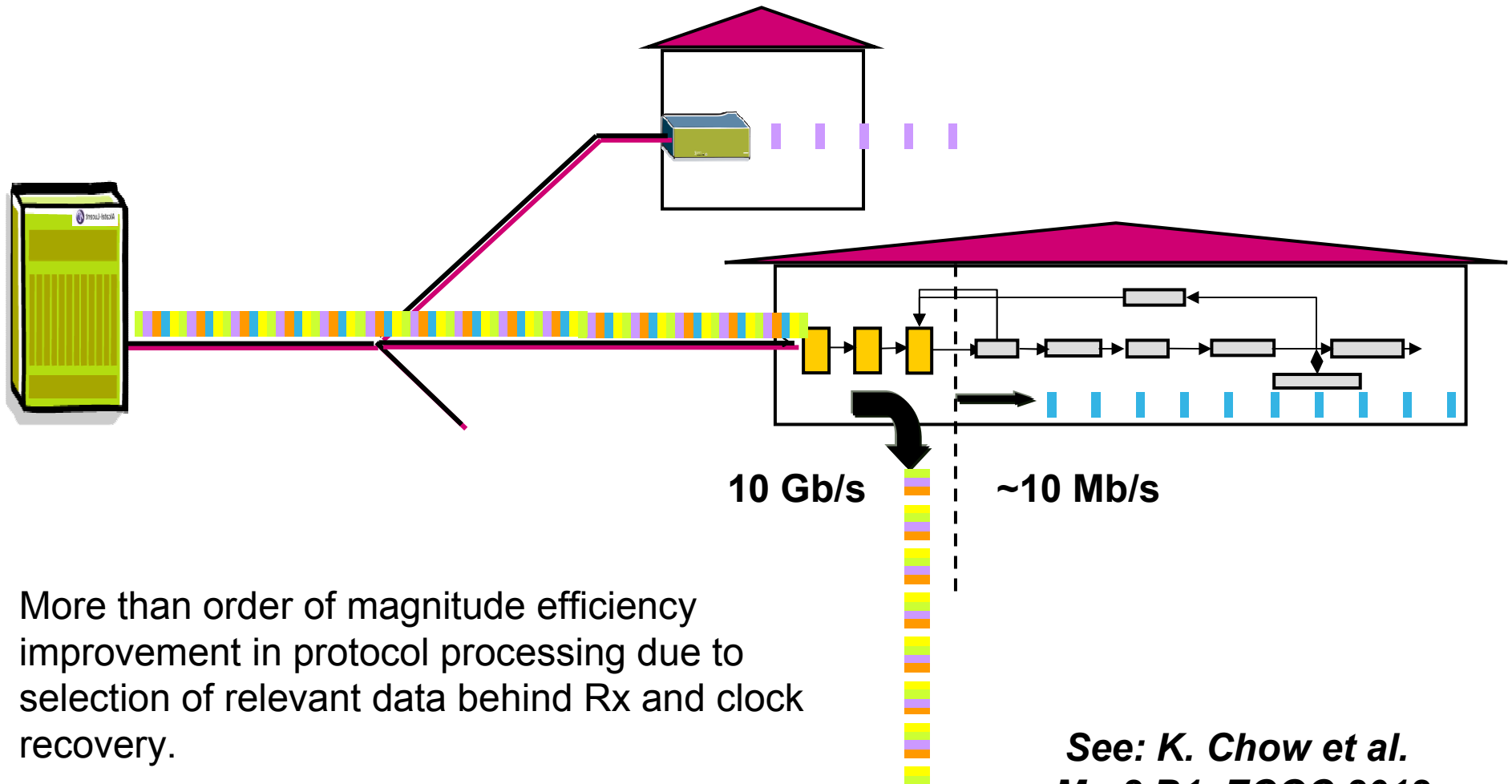
- Doze – state: Rx path remains awake to check for data
- Sleep – state: Rx and Tx are asleep;
  - Periodic probing to check for data
  - Transmission of data during periodic awake time
- Ref. e.g.:
  - **J. Kani, ECOC 2012, (Invited Mo.2.B.3)**
  - *M. Fiamengo, B. Skubic, ECOC 2011 (8.C.3)*
  - *FSAN G.987.3 for XG-PON; G.984 sup.45 for GPON – SIEPON for IEEE EPON*



# Standard XG-PON



# Bit-Interleaving PON



More than order of magnitude efficiency improvement in protocol processing due to selection of relevant data behind Rx and clock recovery.

Even more important for high bit rates (10-40G)

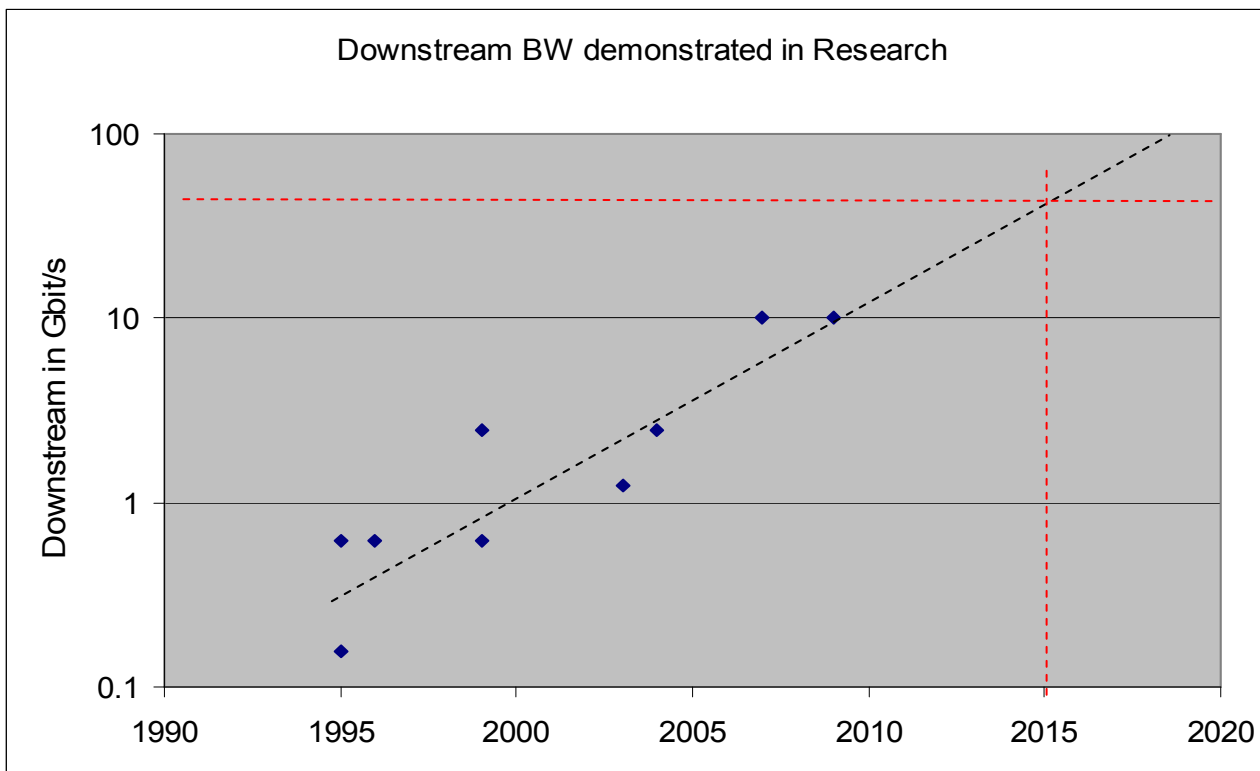
**See: K. Chow et al.  
Mo.2.B1, ECOC 2012**

# Is there life for TDM beyond 10G ?

Historical trend: TDM PON has overcome two main challenges without aid of WDM

- Higher speed electronics, aided by Moore's Law
- More optical power to sustain SNR

Can this be repeated for 40 Gbit/s?

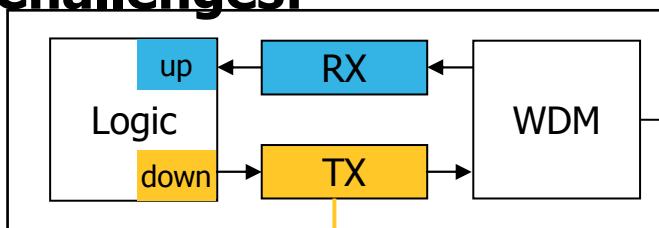


# XLG-PON (40G/10G TDM PON)

- Maintain all the benefits of TDM PON, including
  - most flexible bandwidth allocation
  - colorless ONU
- Complementary to TWDM evolution

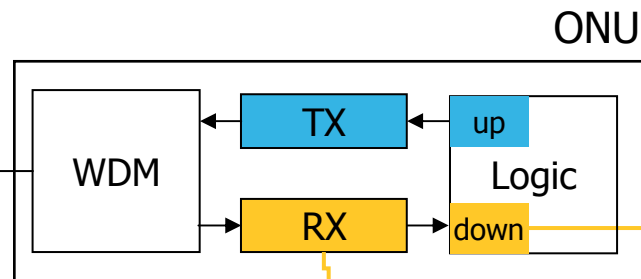
*Cf.: 25G DB with 7 GHz APD  
D. van Veen, Tu.3.B.1, ECOC 2012*

## Challenges:



10 Gb/s, O-band

40 Gb/s, O-band

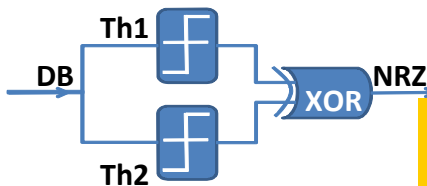
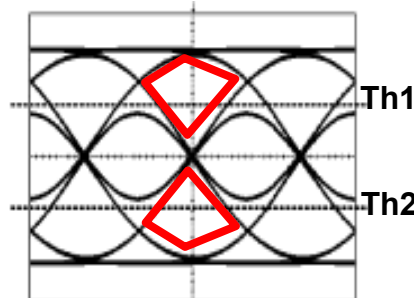


### Chromatic dispersion at 40G

- Select low dispersion 1.3μm wavelength ("O-band")
- Duo-binary mitigates CD by 3x

### Maintain SNR at 40G

- Integrated EML + SOA
- Efficient FEC (e.g. LDPC)



### Rx bandwidth limitations

- Current APD ~10Gbit/s (8GHz)
- Research on 20GHz APD e.g. by III-V labs
- Duo-binary modulation allows for 40G signal with 20GHz APD

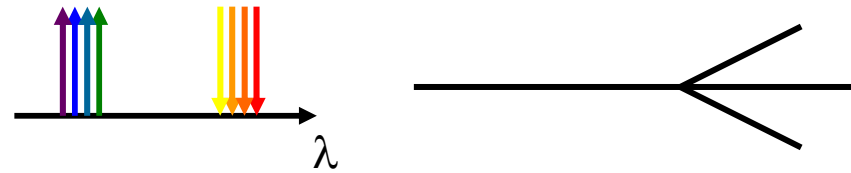
### Power and size of electronics

- Bit interleaving allows for ONU electronics at user rate

**XLG-PON: 40G-capable PON**  
**LDPC: Low Density Parity Check**  
**CD: Chromatic Dispersion**  
**DB: Duobinary**

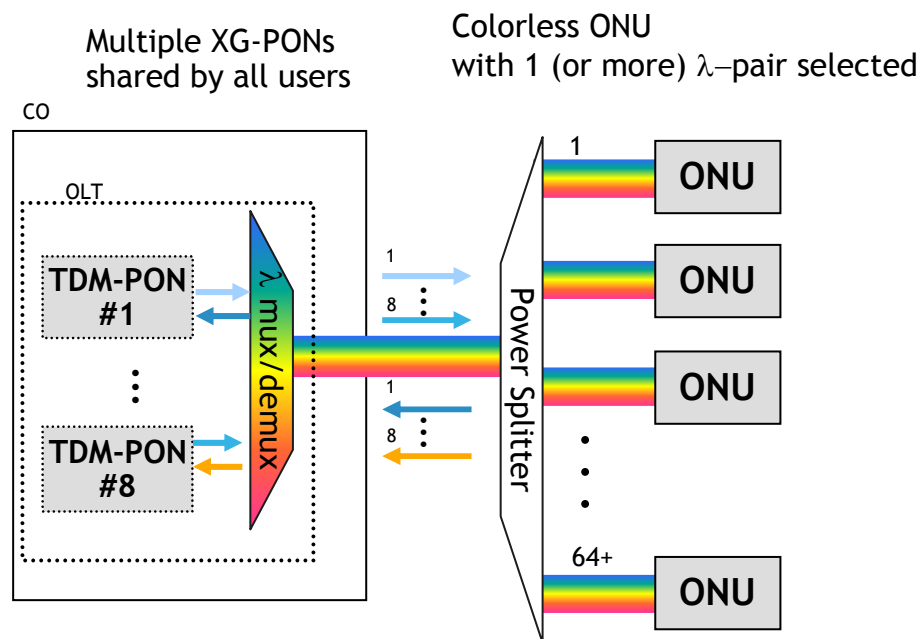
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  - Basic architecture
  - TWSDM-PON
  - Coexistence
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# TWDM-PON

- 4  $\lambda$  x 10G capacity
- Reuse of TDM-PON technology
- Compatible with existing OSP
- Limited tuning range required
  - Allows for lower cost tuning solution than in pure WDM-PON
- **Primary solution for NG-PON2**



Ref.: e.g. T. Koonen et al. TOBASCO, ComMag. 1997

P. Iannone et al. OFC2011 (PDP)

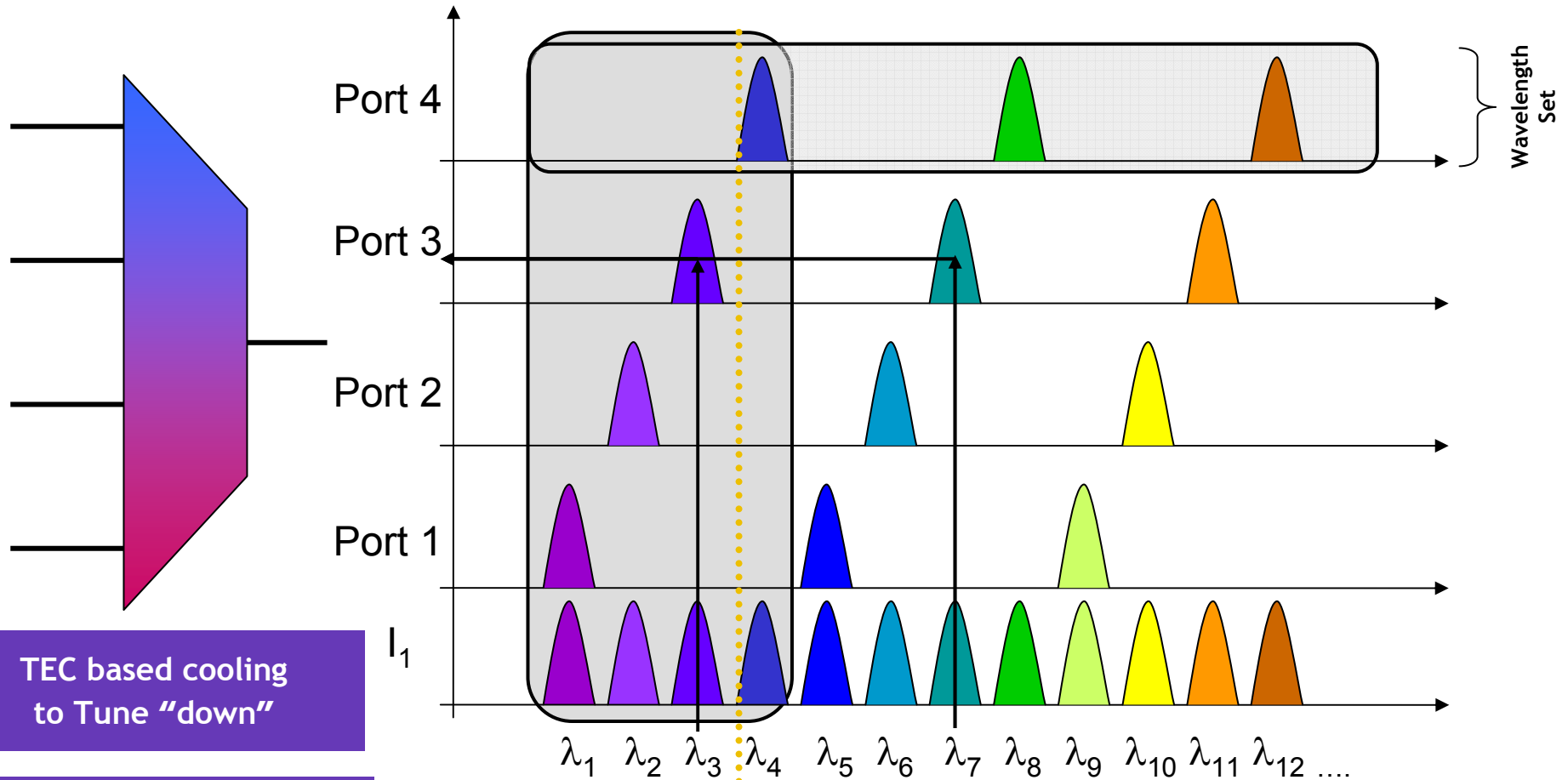
W. Poehlmann et al. ECOC 2011 (We.9.C.1)

Y. Ma, F. Effenberger et al. OFC2012 (PDP5D.7), ECOC2012 (Invited Tu.4.B.1)



# Concept of *Wavelength Set Division Multiplexing*

4 wavelength sets WS1 – WS4 in cyclic AWG, 50GHz grid



TEC based cooling  
to Tune "down"

Apply Heat to Tune "up"  
to next harmonic in WS3  
(No TEC, lower cost)



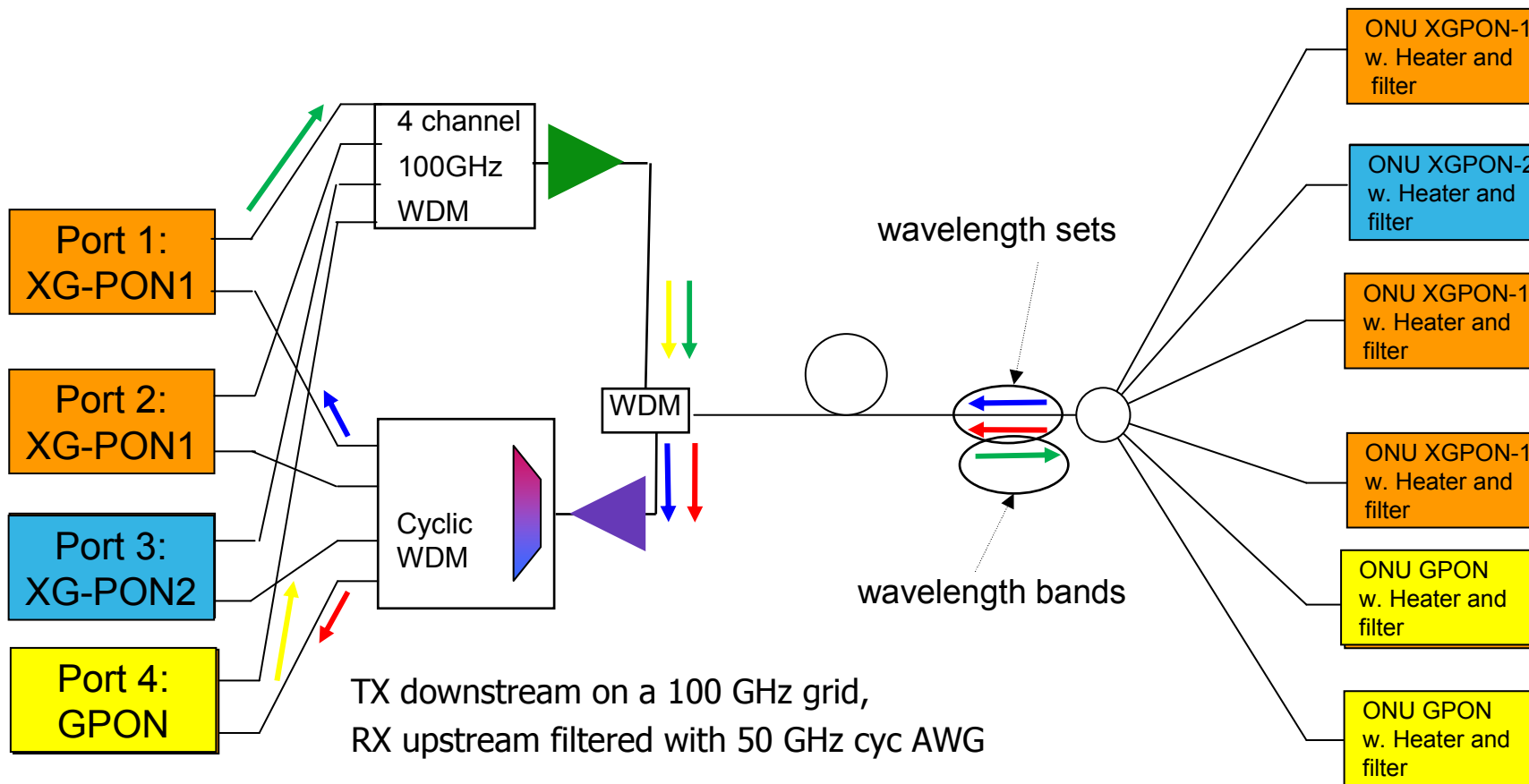
cooling  
heating

AWG: Arrayed Waveguide Grating

See: *W. Poehlmann,  
T. Pfeiffer et al.*

*ECOC 2011 (We.9.C.1)  
ECOC 2012 (Tu.4.B.2)*

# TWDM PON System

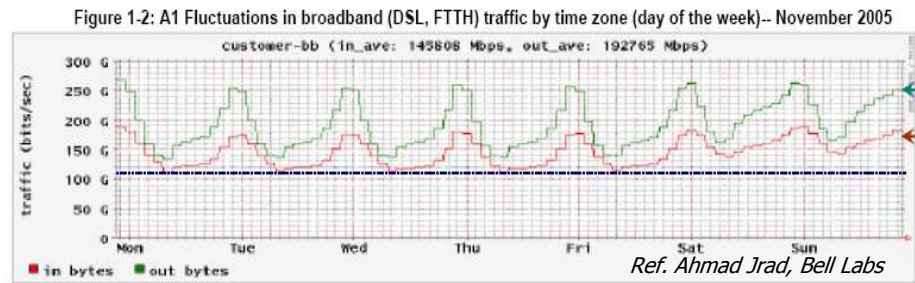
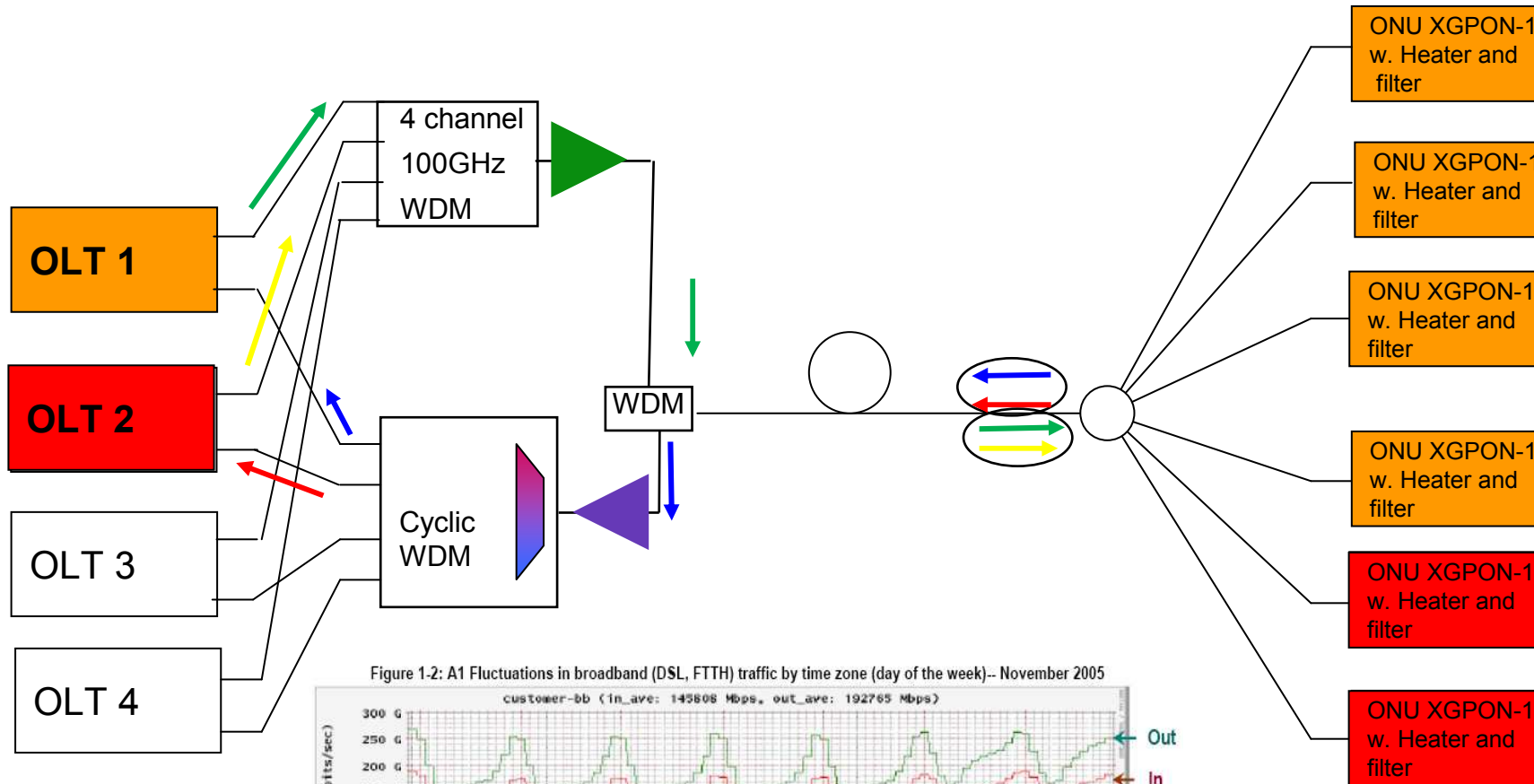


ONU: Tuneable Tx + Tuneable filter

- A straight-forward way to get 40/10G by 4 x XG-PON1
- Possible for multi-provider sharing
- Stacking of different standards: GPON, XG-PON1, XG-PON2

**Tuneable Rx e.g.:**  
**R.Murano et al.**  
**ECOC 2012 (We.2.B.3)**

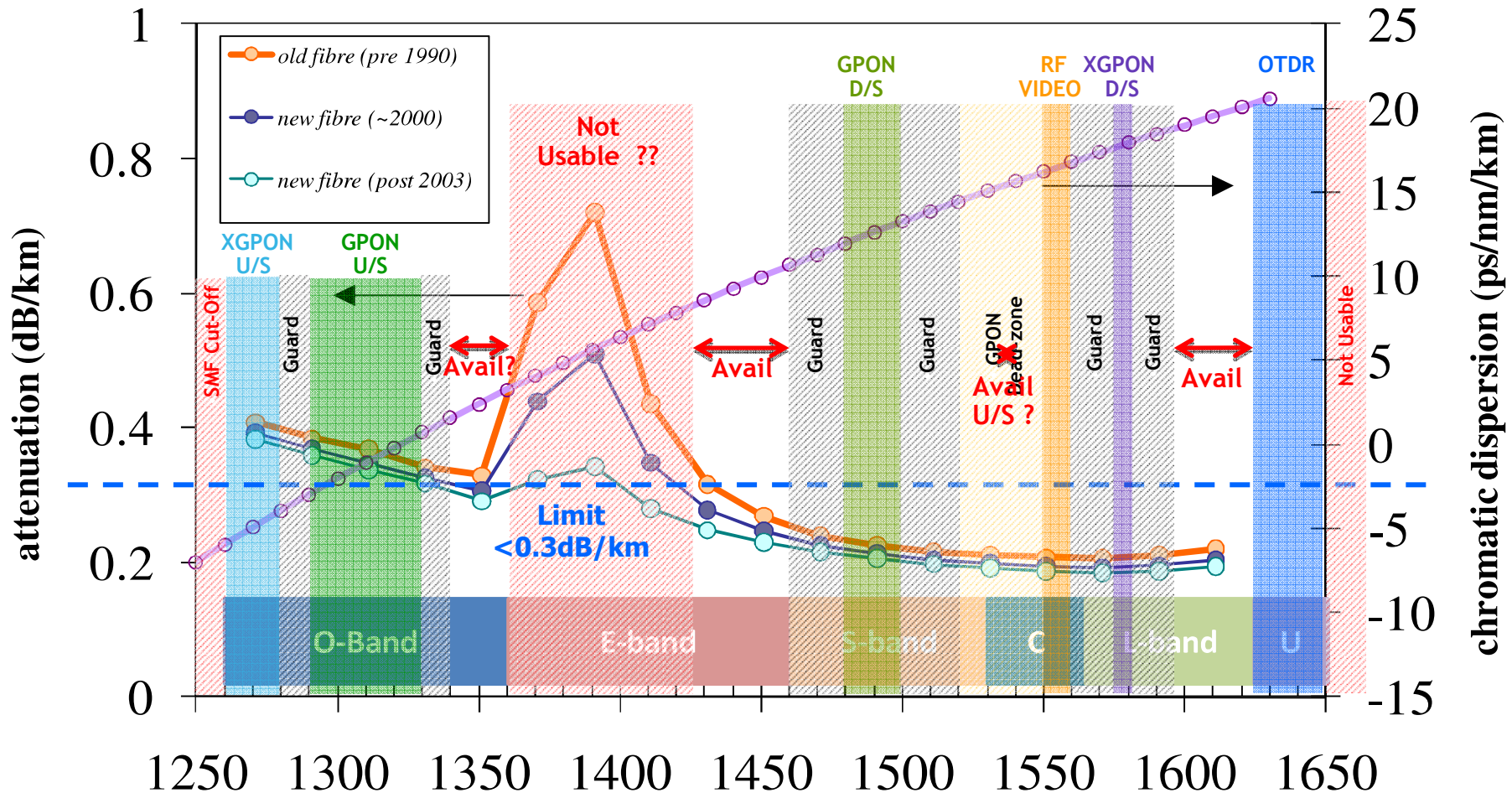
# Power saving at OLT with TWDM-PON



See also e.g.: H. Saito et al. ECOC 2012 (Tu.4.B.5)

OLT ports and lambda can be turned on/off according to expected traffic load

# Coexistence options for TWDM-PON



Subject of on-going debate in FSAN

Courtesy: J. Smith, Alcatel-Lucent

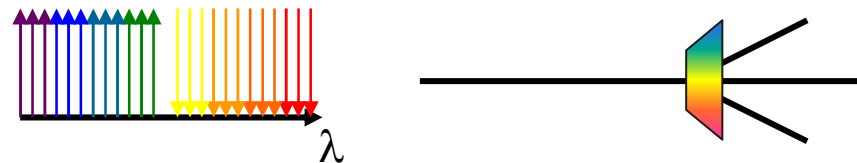
AT THE SPEED OF IDEAS

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OTDR: Optical Time Domain Reflectometry  
 RF: Radio Frequency Video  
 D/S: Downstream  
 U/S: Upstream

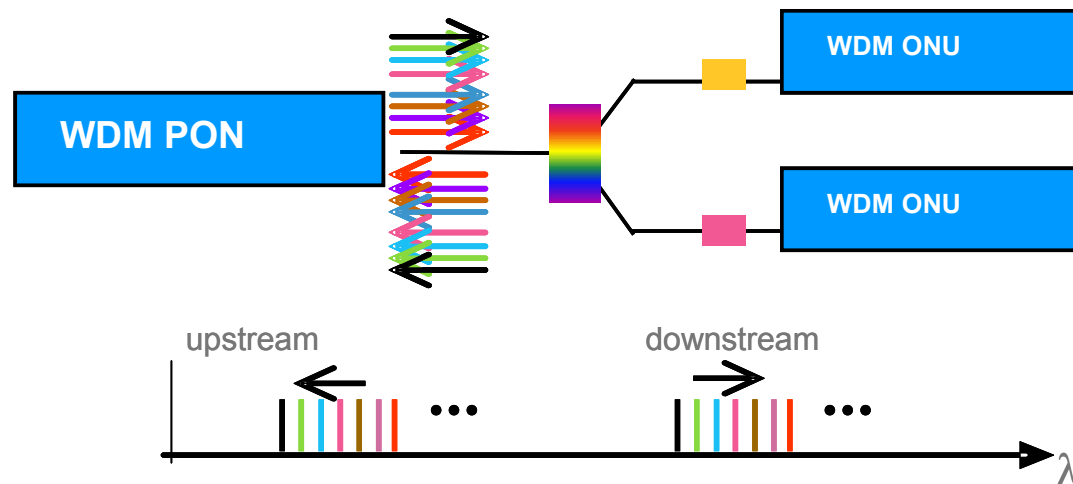
# Outline

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- WDM-PON
  - Tuneable ONU
  - RSOA architectures
  - Coherent WDM-PON
- OFDM-PON
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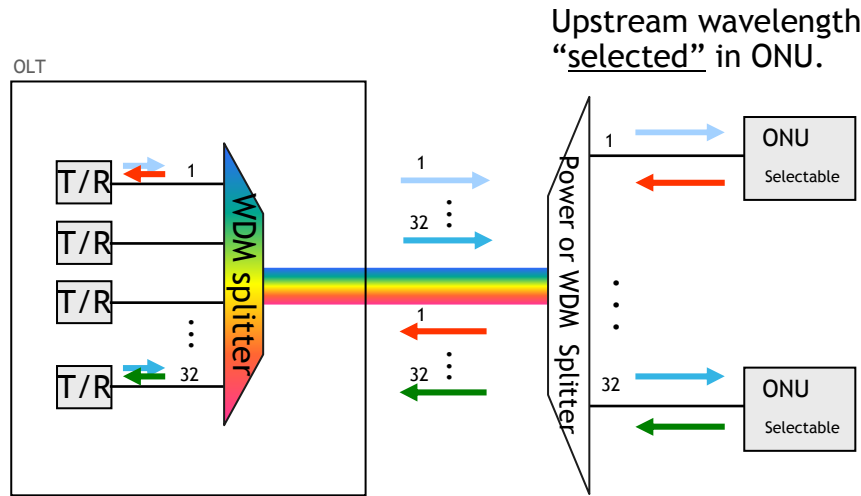
# WDM-PON

- Wavelength (pair) per subscriber
- WDM (AWG) instead of passive splitter reduces required optical budget
- 1G (-10G) per subscriber
- **Optional overlay for NG-PON2 in FSAN**
- Challenges:
  - “colorless” ONU
  - Low cost optical components



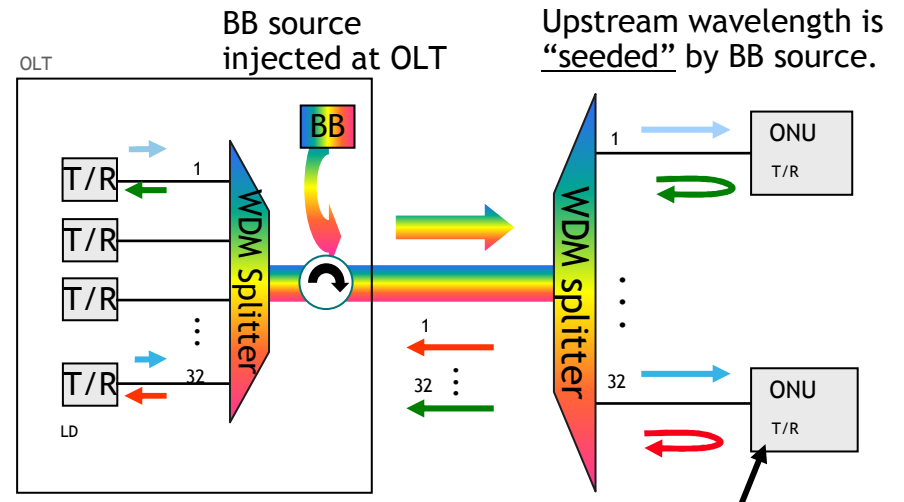
# Main approaches for “colorless” ONU Tx

## Tuneable laser



- Ideal technical solution
  - Large optical budget
    - 60km (with AWG WDM splitter)
    - Also passive splitter possible
  - Feasible 1 -10Gbit/s
  - But complex and expensive components for tuneable lasers
- (Note:  $\lambda$ -selection is sufficient)

## Remotely-modulator WDM-PON



- Lower cost than tuning
- Smaller optical budget
  - Only works with WDM splitter
- Modulation limited to 2.5 Gbit/s
- Large chirp due to gain modulation
- Requires seeding source in OLT
- Reflection noise

RSOA or FP

Ref: R. Brenot, Bell Labs, Invited APC 2011  
R. Heron, Alcatel-Lucent

# WDM-PON

## Tuneable lasers

- **Device challenges:**

- Tuning range: 40 nm
- Low cost: A-thermal (no TEC)
- Wavelength stability (mode hops)
- Complexity (yield, cost)

- **System:**

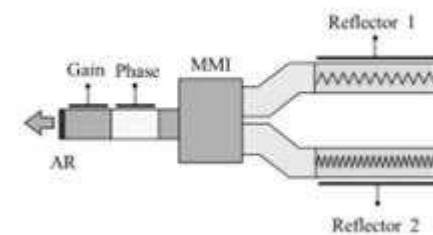
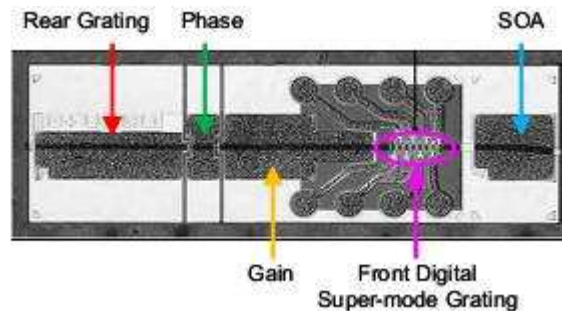
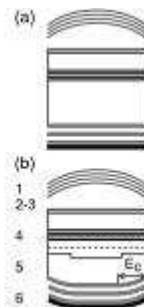
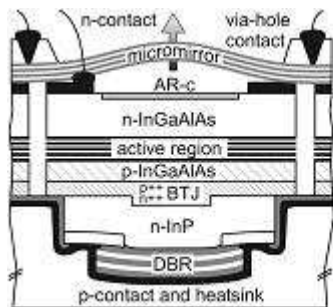
- Protocol for  $\lambda$ -setting  
Current wavelength ?  
Target wavelength ?
- Wavelength tuning without interference of other users

- **Example Technologies:**

(1) External cavity: e.g. MEMS VCSEL by *P. Debernardi et al., JQE, April 2008*

(2) DS-DBR: e.g. *S. H. Lee, A. Wonfor, M. Wale et al., ECOC2010 (Mo.1.B.2)*

(3) SG Y-branch: e.g. *M. Roppelt, J.P. Elbers, et al. OFC 2012 (OW1B.4)*



(1)

(2)

(3)



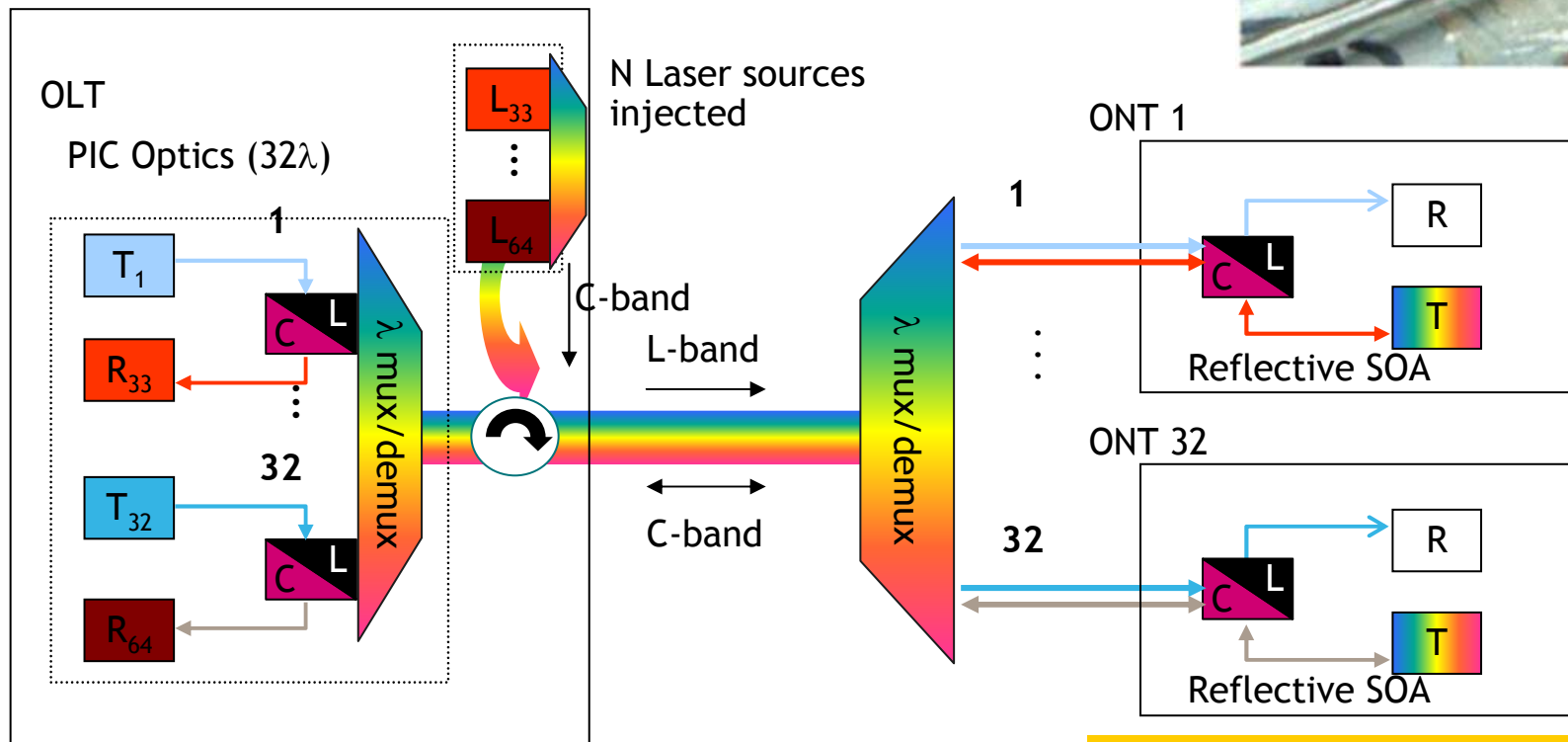


# WDM-PON with remote modulation

## 1. Laser seeding



- From the OLT:
  - Optical budget limited to **25 dB**
  - Strong RBS impairment; cost of one laser at CO per ONT

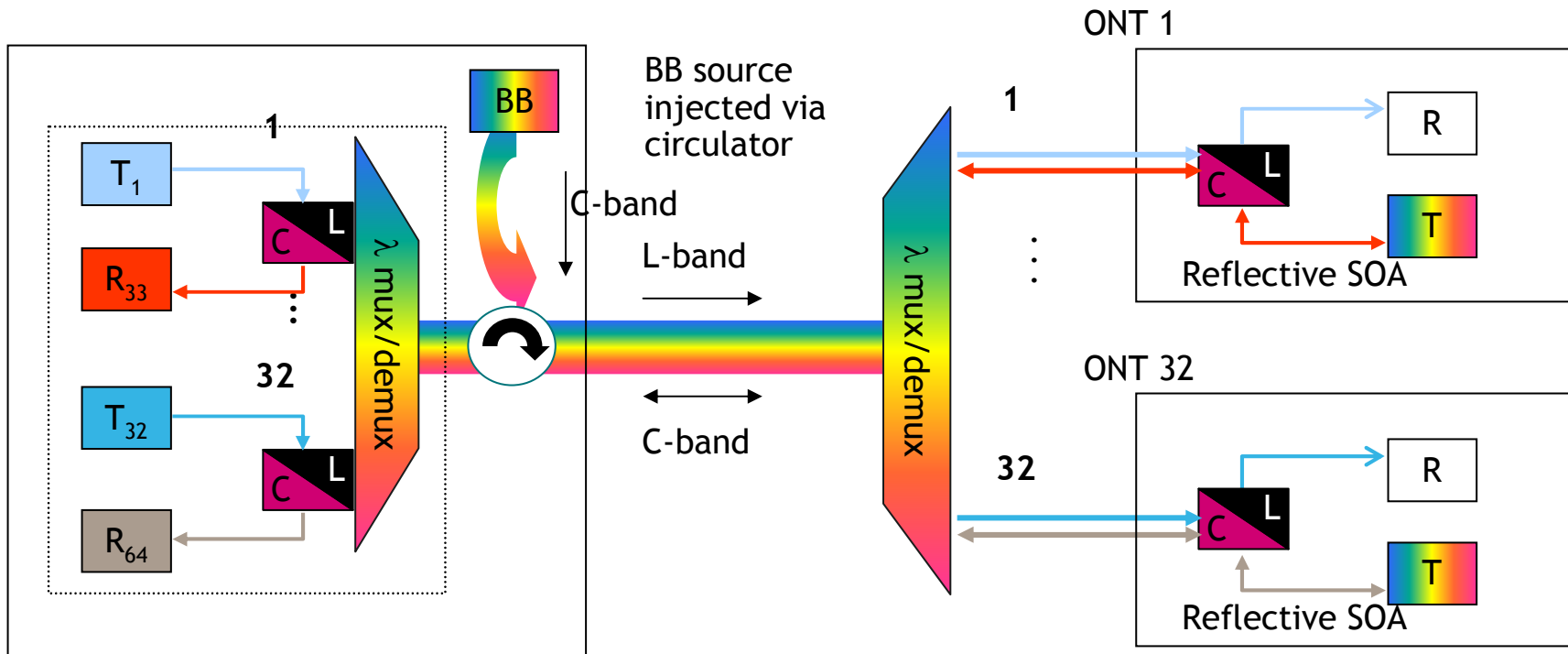


RBS: Rayleigh BackScattering  
 CO: Central Office  
 PIC: Photonic Integrated Circuit  
 T: Transmitter  
 R: Receiver

# WDM-PON with remote modulation

## 2. Spectrum sliced WDM-PON

- BB source seeding by EDFA
- Main issue : ASE noise

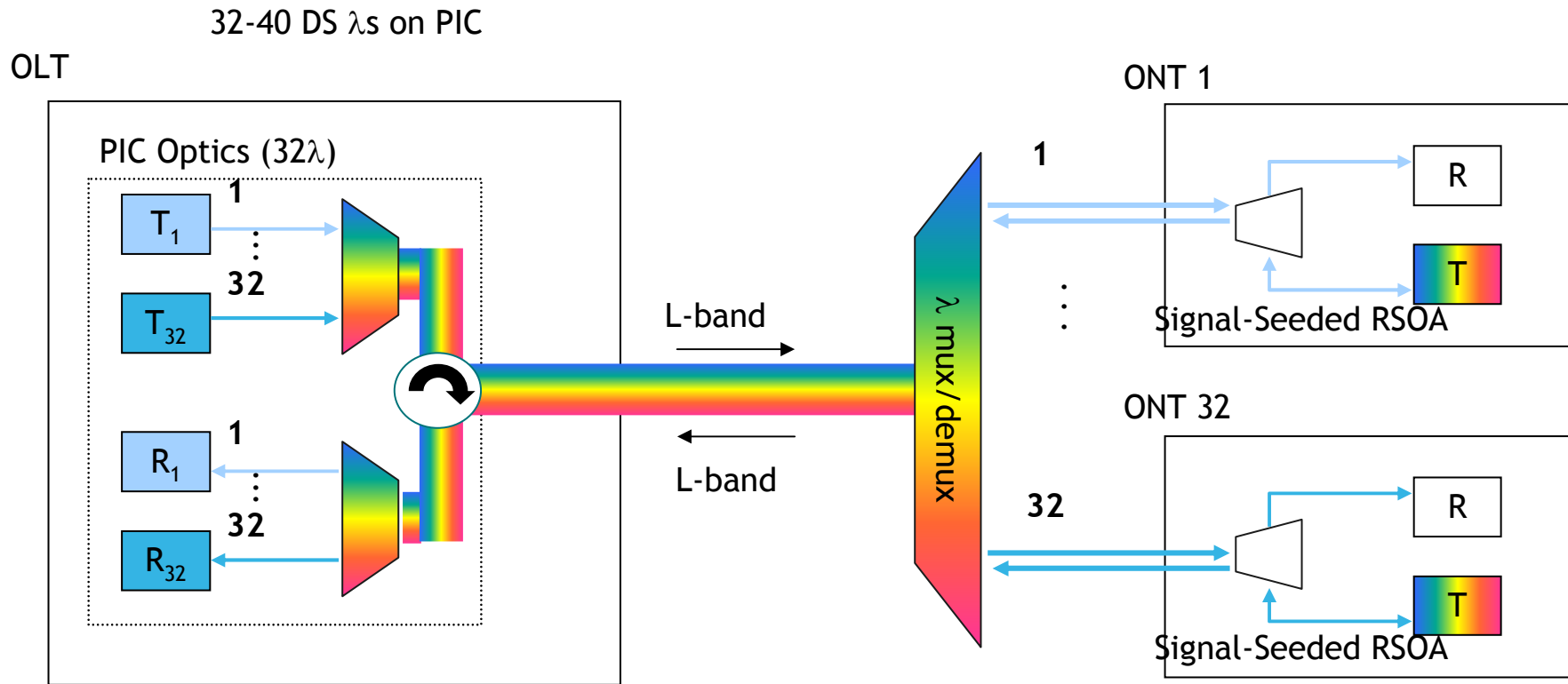


E.g. J.Y. Kim et al., ECOC 2012 (We, 1.B.4)

# WDM-PON with remote modulation

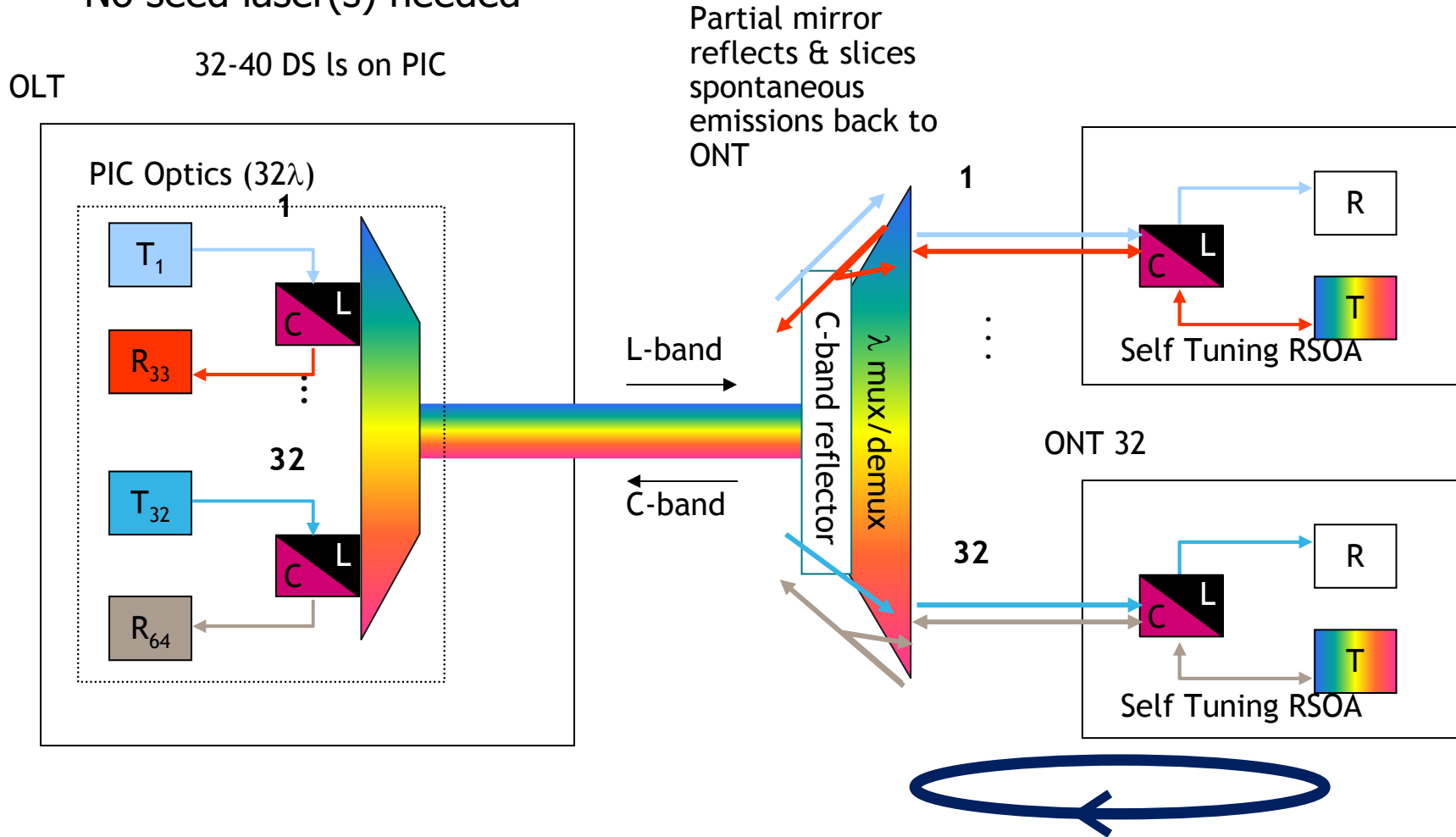
## 3. Wavelength reuse

- Reduced #lasers, Higher # channels, but RBS and remodulation impairments



# 4. Self-seeding WDM-PON

- Wavelength "self-configured" by port in AWG
- No seed laser(s) needed



*E.g.: F. Saliou et al, ECOC 2012 (We.1.B.6)*

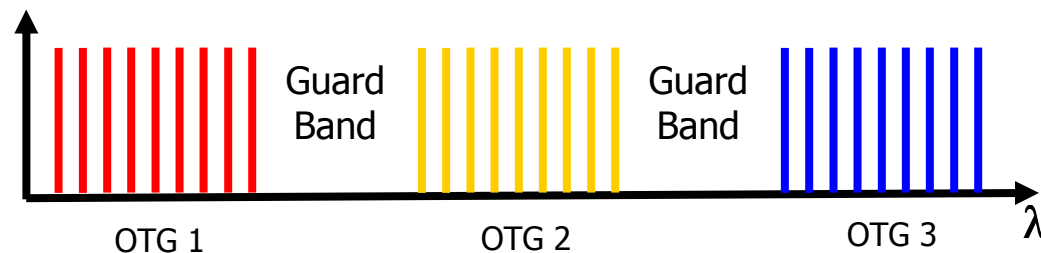
# Coherent WDM-PON

- **Improved optical budget and channel density, but expensive**

*(Ref.: S. Smolorz, H. Rohde et al., OFC2011, PDP D4)*

- **UDWDM-PON**

- One Laser is modulated with up to 10 carriers - 3 GHz spacing
- 50 GHz per OTG
- Scalable with multiple OTG
- 1Gbit/s DQPSK symmetrical bandwidth per subscriber
- Heterodyne detection: -46 dBm Rx sensitivity
  - 40 km, 256 channels over passive plant
- Colorless ONU: tuneable ECL as LO
- Expensive – requires photonic integration to reduce cost

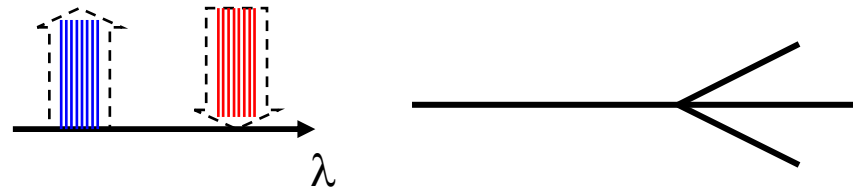


**Also Coherent WDM-PON at ECOC 2012:  
e.g. We.1.B.1, We.1.B.3, We.2.B.2, PDP**

OTG: Optical Transmission Group  
ECL: External Cavity Laser  
LO: Local Oscillator

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# OFDM-PON

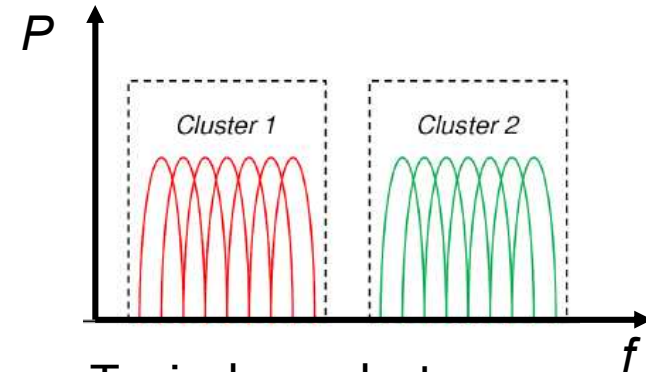
Potential benefits for access:

- High single carrier rate (High spectral density)
  - 40-100 Gbit/s demonstrated
- Dispersion tolerance suited for long reach (100 km)
- Subcarrier frequency as multiple access dimension (can be further combined with time and wavelength)
- ONU needs to process only subset of spectrum and can operate at lower rates
- Ability to adjust link capacity power consumption to temporal traffic demands

But

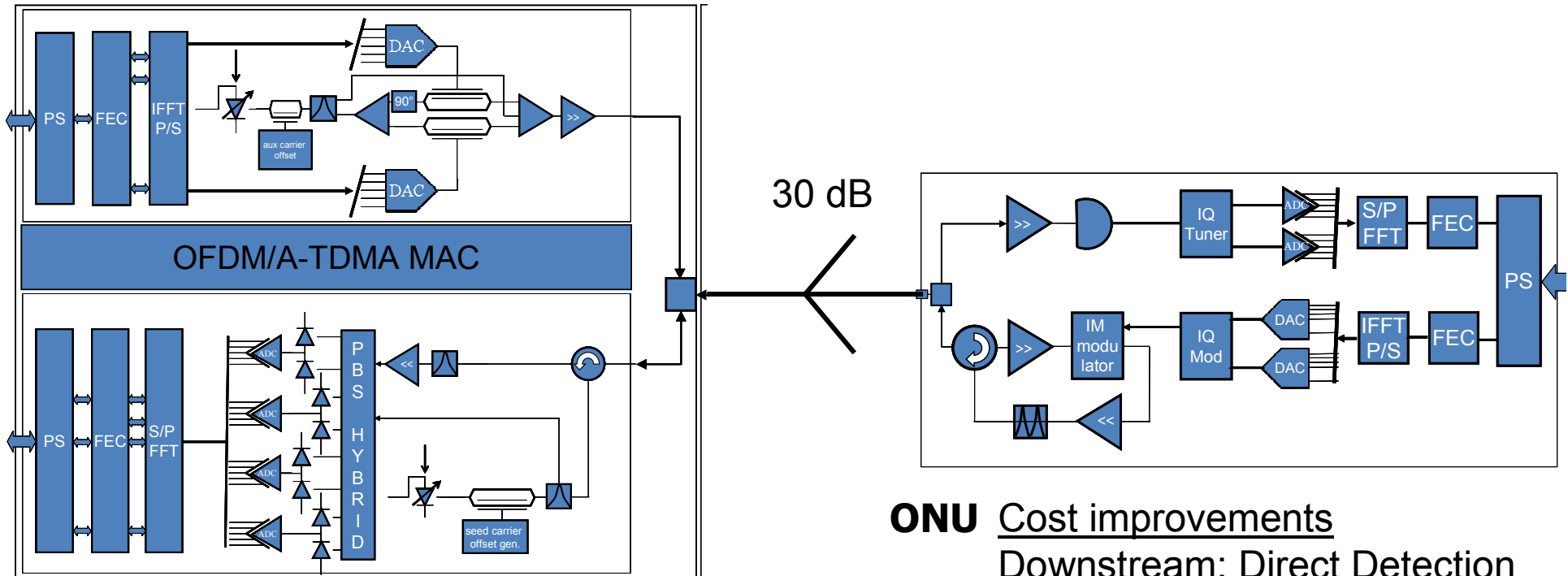
- Complex...

**Ref. e.g.: N. Cvijetic et al., NEC LA, e.g. OFC2011, OMG3**



Typical per cluster  
16-256 OFDM subcarriers  
16 QAM

# Asymmetrical Coherent OFDM-PON

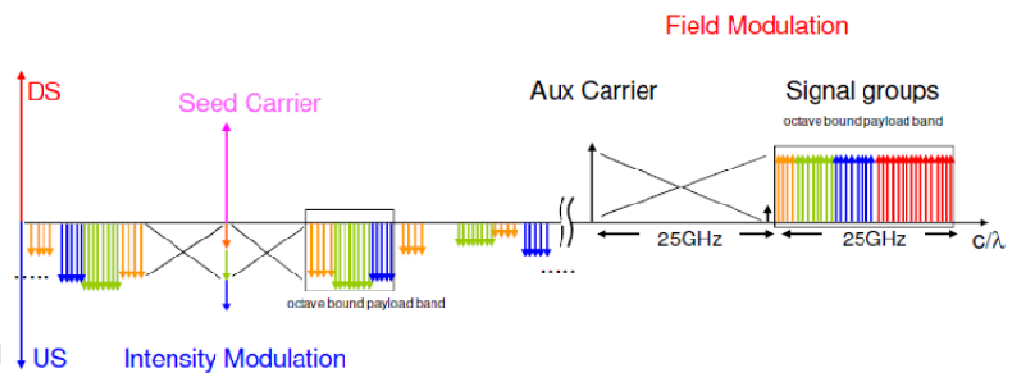


**OLT** Downstream: Field Modulation  
Upstream: Coherent Detection

**ONU** Cost improvements  
Downstream: Direct Detection  
Upstream: Intensity Modulation  
Subset of subcarriers

*Ref.: H. Krimmel, T. Pfeiffer, et al.  
FP7-Accordance*

DAC: Digital to Analog Conversion  
ADC: Analog to Digital Conversion  
(I)FFT: (Inverse) Fast Fourier Transform

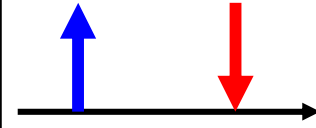
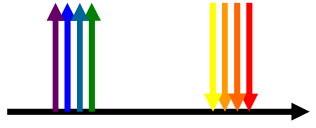

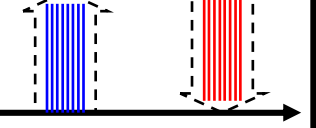




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# Comparison

	TDM	TWDM	WDM	OFDM
				
Rate (Down/Up)	40/10G	4x (10/2.5G)	Nx(1/1)G	40/10G
Optical budget	31 dB	37.5 dB	29-43 dB	30-36.5 dB
Split	64 (*)	64 (*)	80	64 (*)
Reach	<40 km (°)	<40 km	<20-60 km	<100 km
System maturity				
Cost (L ↔ H)				
Power OLT				
Power ONU				

(\*) typical split for passive split PON – actual split is trade off with reach within optical budget

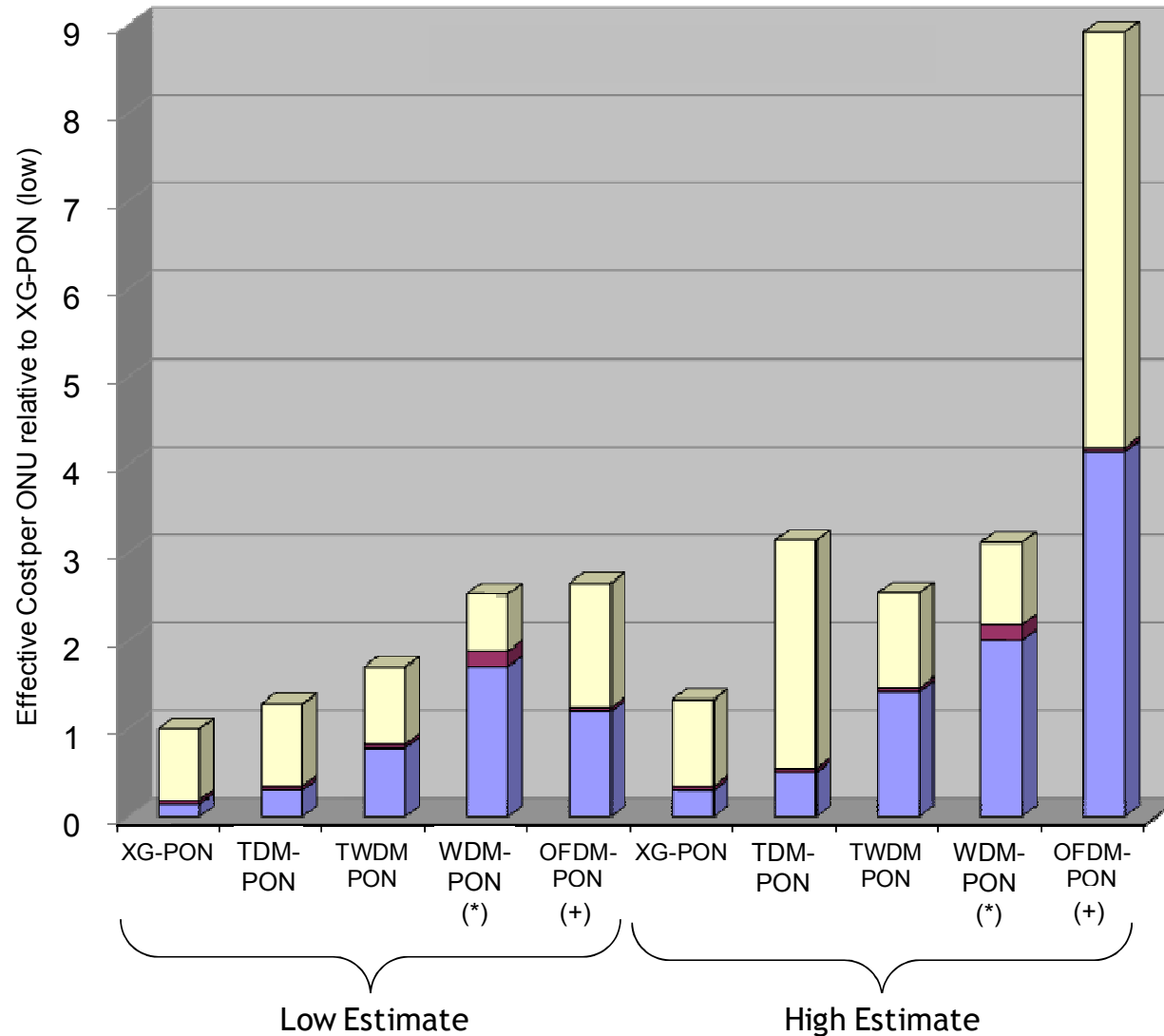
(°) dispersion limit – actual reach is trade off with split within optical budget

..... Alcatel·Lucent 

*Comparative studies - See also e.g.: FSAN NG-PON2 White Paper; FP7-OASE*

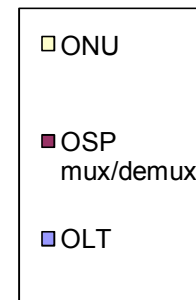
# Cost comparison 40/10G NG-PON2 (year 2015)

Effective cost per subscriber (16 ONU connected per OLT)



Ref.: E. Harstead et al.,  
Alcatel-Lucent

Larger uncertainties  
for higher risk  
technologies



Volumes:  
•OLT=10k  
•ONU=100k

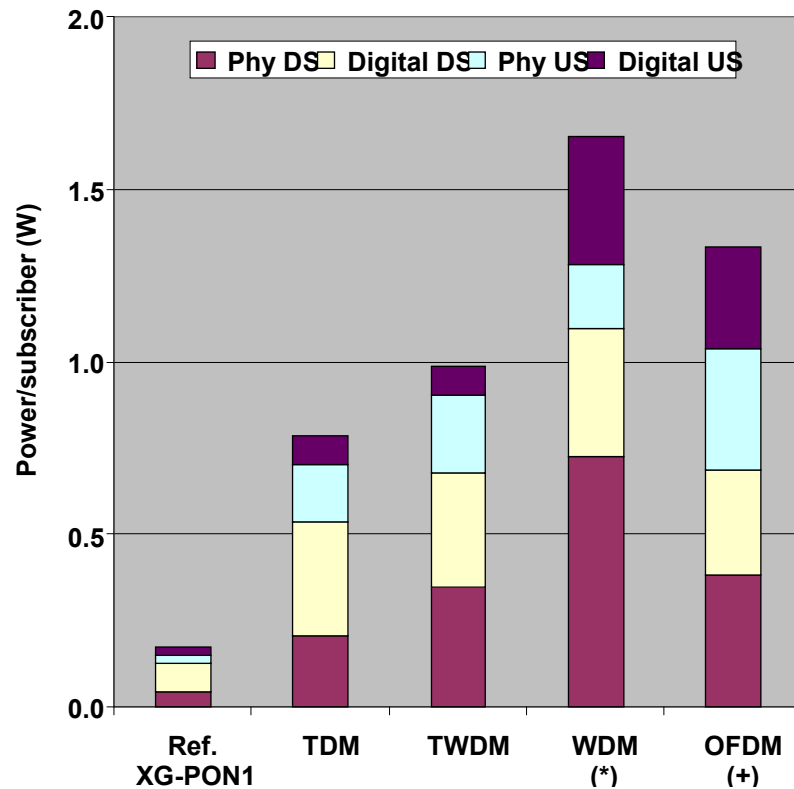
(\*) Self-seeded PON used as example case  
(+) Asymmetrical OFDM PON used as example case

# Power consumption



## OLT power per subscriber (1:32)

- TDM-PON: higher rate optics
- TWDM-PON: optics x4
- WDM-PON: Tx/Rx per subs
- OFDM: large (OA, DAC/ADC, (I)FFT)

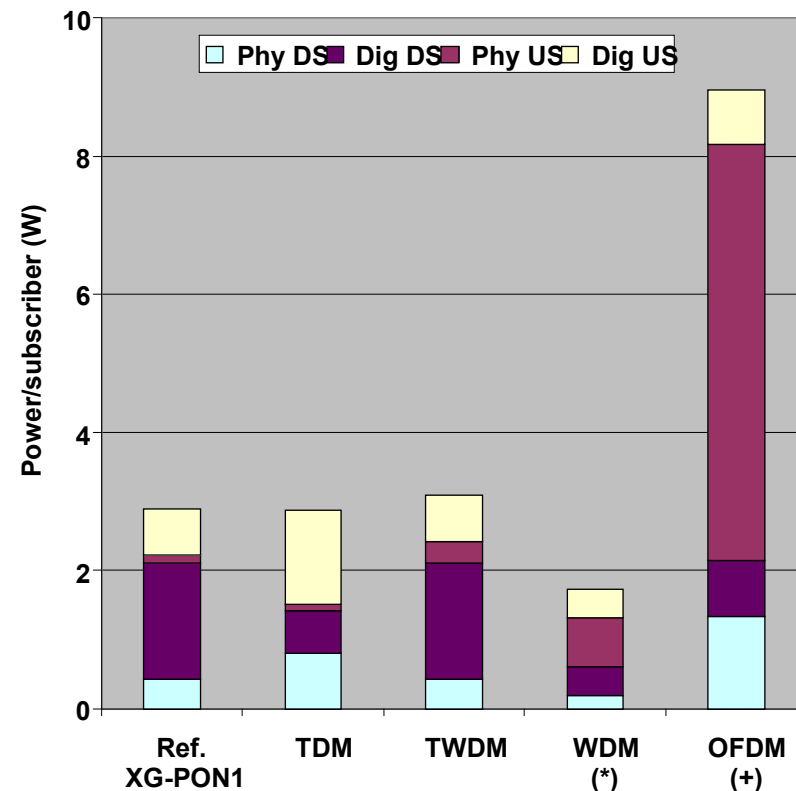


(\*) Self-seeded PON used as example case

(+) Asymmetrical OFDM PON used as example case

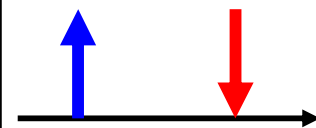
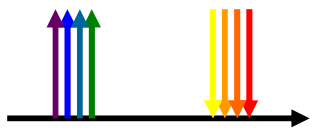
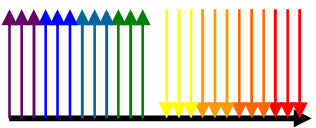
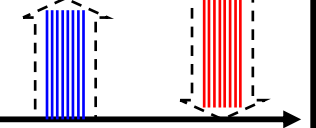
## ONU

- TDM-PON: bit interleaving for 40G D/S
- TWDM: similar to XG-PON + tuning
- WDM-PON: low (1 Gbit/s)
- OFDM: large (OA for U/S); subset of carriers reduced DAC/ADC and (I)FFT



OA: Optical Amplification

# Comparison

	TDM	TWDM	WDM	OFDM
				
Rate (Down/Up)	40/10G	4x (10/2.5G)	Nx(1/1)G	40/10G
Optical budget	31 dB	37.5 dB	29-43 dB	30-36.5 dB
Split	64 (*)	64 (*)	80	64 (*)
Reach	<40 km (°)	<40 km	<20-60 km	<100 km (°)
System maturity	Research	Development	Development	Research
Cost (L ↔ H)	\$ ↔ \$\$\$	\$ ↔ \$\$	\$\$ ↔ \$\$\$	\$\$ ↔ \$\$\$\$
Power OLT	Low	Low	High	Medium
Power ONU	Medium	Medium	Low	High

(\*) typical split for passive split PON – actual split is trade off with reach within optical budget

(°) dispersion limit – actual reach is trade off with split within optical budget

# Summary

- TWDM-PON primary solution for FSAN NG-PON2
  - Optional WDM-PON overlay
  - Other technologies have their merits, but higher risk in 2015 time frame (target of FSAN NG-PON2)
  - NG-PON uses expected for wireline and wireless backhaul, business
- Future research directions
  - Low cost technologies within existing standards framework (components, systems)
  - Reduce operational cost
  - Support multi-provider and multi-service (business, residential, backhaul)
  - Green access solutions (in central office, remote node, and at subscriber)
  - New long-term concepts beyond standards (needs compelling advantage over standard solution and at lower cost)